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# AP U.S. GOVERNMENT AND POLITICS Unit 1- Foundations of American Democracy

The U.S. Constitution arose out of important historical and philosophical ideas and preferences regarding popular sovereignty and limited government. Compromises were made during the Constitutional Convention and ratification debates, and these compromises have frequently been the source of conflict in U.S. politics over the proper balance between individual freedom, social order, and equality of opportunity.

The first semblance of a national government created after independence was a state centered, decentralized system that reflected a fear of a powerful central government. Yet, the lack of powers held by the weak national Congress, coupled with each state's independent and often conflicting actions, raised concerns with such key actors as James Madison and Alexander Hamilton as to whether the Articles of Confederation were able to protect the new nation. The weaknesses led to a call for change and a decision to host a convention to revise the Articles. The convention itself was a triumph of negotiation, collaboration, and compromise, extending beyond the delegates' original mandate. It led to the creation of a new constitution that granted more centralized authority, while dispersing powers among three branches in the national government, and reserving powers and authority to the states to govern within their borders.

The group that favored the new federal Constitution, the Federalists, argued that the constitutional separation of powers and checks and balances would protect people from governmental tyranny and unify the nation. The Federalists also argued that although the Constitution lacked a specific listing of rights, it protected civil liberties in general by limiting the national government to powers that were enumerated. By contrast, the Anti-Federalists argued against the new federal system, claiming that the new Constitution would erode the sovereignty of the states, the prominence of local self-government, and their inherited liberties, as it lacked a specific listing of rights needed to protect the people from the national government. The Federalists, after James Madison's eventual concurrence, promised the Anti-Federalists that they would support the addition of a bill of rights once the Constitution was ratified. The Constitution was ratified in 1788 although the last state, Rhode Island, did not ratify it until 1790. The Bill of Rights, the first ten amendments to the U.S. Constitution, was ratified in 1791.

More than 200 years later, the compromises that were necessary for the Constitution's ratification, and in some instances led to ambiguity, continue to fuel debate and sometimes even conflict over how best to protect liberty, equality, order, and private property.

#### **AP CB Unit 1 Big Ideas**

Big Idea 1 Constitutionalism	Why are there debates about the balance of power between the federal and state governments?
Big Idea 2 Liberty & Order	Is the Bill of Rights necessary? Why? Why not?
Big Idea 4 Competing Policy-Making Interests	How does the Constitution affect you and the choices you make?

Reading Activity	You are expected to read the textbook chapters, required AP documents, required AP Supreme
	Court cases, and supplemental readings as assigned
	Stories of a Nation (SOAN) Textbook Chapters: Chapters 1-3
	Required Documents:
	Declaration of Independence
	Federalist 10
	Brutus 1



- Articles of Confederation
- US Constitution
- Federalist 51

#### Required Cases:

- McCulloch v. Maryland
- United States v. Lopez

AP Reccomended Supplemental Reading: "How American Politics Went Insane"

**Vocabulary** (NOTE: Vocab words are specifically mentioned in the framework established by the College Board. <u>ALL</u> of the vocabulary should be studied for the test!)

Limited government Natural rights Popular sovereignty Republicanism Social contract Representative democracy Participatory democracy Pluralist democracy Elite democracy Declaration of Independence US Constitution	Federalist 10 Brutus 1 Articles of Confederation Anti-Federalist Federalist Democracy Faction Shay's Rebellion Great Compromise Electoral College 3/5ths Compromise	Constitutional Convention Article V/Amendment Process Ratification Separation of Powers Checks and Balances Federalist 51 Impeachment Removal (Impeach Process) Federalism Enumerated Powers Implied Powers	Reserved Powers Concurrent Powers Revenue sharing Categorical grants Block grants Mandates Tenth Amendment Fourteenth Amendment Commerce Clause Necessary & Proper Clause (aka Elastic Clause)
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#### 1. A balance between governmental power and individual rights has been a hallmark of American political development.

Ideas the U.S. Government is Based (SOAN 1.2)

Term	Definition
Natural Rights and list the three	
	1. 2. 3.
Popular Sovereignty	
Republicanism	
Social Contract	

LO LOR-1.A Explain how democratic ideals (limited government, natural rights, popular sovereignty, republicanism, social contract) are reflected in the Declaration of Independence and the U.S. Constitution



American Democratic Ideals Reflected in Documents (SOAN 1.2 and 2.2)

	Author(s)	Describe Purpose	Explain the Main Ideas
Declaration of Independence			
U.S. Constitution			

LO LOR-1.B Explain how models of representative democracy (participatory, pluralist, elite) are visible in major institutions, policies, events, or debates in the U.S.

Theories of Representative Democracy (SOAN 1.3)

	Definition	Examples in Major Government Institutions	Examples in U.S. Government Policy Decisions	Examples in U.S. Events	Examples in U.S. Debates on Policy
Participatory Democracy					
Pluralist Democracy					
Elite Democracy					

**Note:** The three models of representative democracy continue to be reflected in contemporary institutions and political behavior and can take several forms along this scale.

**Note:** The debate between the Federalist No. 10 and Brutus No. 1, reflect the tension between the broad participatory model and the more filtered participation of the pluralist and elite models.

2. The Constitution emerged from the debate about the weaknesses in the Articles of Confederation as a blueprint for limited government.

LO Con-1.B Explain the relationship between key provisions of the Articles of Confederation and the debate over granting the federal government greater power formerly reserved to the states (lack of centralized military power to address Shays' Rebellion, lack of tax-law enforcement power)



Article of Confederation and its Weaknesses (SOAN 2.1)

	Description Incidents and Challenges	Description of the Long-term Challenge
Shay's Rebellion		
,		
Dawer to Tay		
Power to Tax		

LO Con-1.A Explain how Federalist and Anti-Federalist views on central government and democracy are reflected in U.S. foundational documents (Federalist #10 and Brutus #1).

Views on Central Government and Democracy (SOAN 2.4)

Define: FACTION		
	Federalist	Anti-Federalists
Foundational Documen	ıt:	Foundational Document:
Author		Author
Main Idea(s) (reasons to ratify constitution)		Main Idea(s) (reluctance to ratify constitution)

3. The Constitution emerged from the debate about the weaknesses in the Articles of Confederation as a blueprint for limited government LO Con-1.C Explain the ongoing impact of political negotiation and compromise at the Constitutional Convention on the development of the constitutional system (Great/Connecticut Compromise, Electoral College, Three-Fifths Compromise, Compromise on the importation of slaves, Amendment process, role of central government).

Compromises at the Constitutional Convention (SOAN 2.2)

Compromises at the Col	istitutional Convention (SOAN 2.2)
	Description of the the Compromise
Great (Connecticut) Compromise	Virginia Plan:
	New Jersey Plan:
	Great (Connecticut) Compromise



Electoral College		
Three-Fifths Compromis	е	
Compromise on the importation of slaves		
Note: Compromises de Amendment Process (	emed necessary for adoption and ratific	ation of the Constitution.
What does it mean to Forthe Constitution?		
What does it mean to Inf the Constitution?	ormally Amend	
Which Article describe Process	s the Formal Amendment	
2 Ways to Formally Amend	Propose a Constitutional Amendme	nt Ratify a Constitutional Amendment
	(1)	(1)
	(2)	(2)
process.		Constitution necessitated the drafting of an amendment
		of the Constitutional System (Application of Content) alancing rights of individuals, state powers, and
Government surveillance resulting from the federal government's respon to the 9/11 attacks.		



he central government, the powers of state governments, and the rights of individuals constitutional issues about democracy and governmental power.
y to secure ratification of the Constitution left some matters unresolved that continue to day.
e policy-making process to ensure the people's will is represented and that freedom is preserved.
nciples of separation of powers and checks and balances. (SOAN 2.3)
Definition
nomation of nousers and absolve and balances for the U.S. nelitical custom (multiple access paints for
paration of powers and checks and balances for the U.S. political system (multiple access points for public officials who have abused their power).
other legal actions taken against public officials deemed to have abused their power alances.
other legal actions taken against public officials deemed to have abused their power alances.  President (Constitution Summer Reading & Application)
other legal actions taken against public officials deemed to have abused their power alances.



Step 1: House of Representatives		Step 2: Senate
Explain the House of Representatives' role in check and balance.	s	Explain the Senate's role in checks and balance.
Votes Needed to impeach:		Votes needed to remove:
During the formal Senate trial, who is the presiding judge?		
Explain how impeachment and removal represents the Constitutional system of government.		

## 5. Federalism reflected the dynamic distribution of power between national and state governments

Federalism (SOAN 3.1-3.2)

Expressed/Enumerated (National Powers)	Concurrent (Shared Powers)	Reserved (State Powers)
Definition:	Definition:	Definition:
List: (Provide at least 5)	List:	List:
Implied (National Powers)		
Definition:		
Explain:		

**Note:** Societal needs affect the constitutional allocation of power between the national and state governments.

LO CON-2.A Explain how societal needs affect the constitutional allocation of power (exclusive and concurrent) between the national and state governments (grants, incentives, aid programs, revenue sharing, mandates, categorical grants, block grants).



Grants, Incentives, and Aids (SOAN 3.4)

Term	Define	Example
Grants		
Incentives		
Federal Revenue Sharing		
Mandates		
Categorical Grants		
Block Grants		
Explain how grants, incentives, and aid programs meet federal societal changes AND balance power.	I	

**Note:** Categorical grants have increased federal power because the states must comply with the regulations.

## LO CON-2.B Explain how the appropriate balance of power between national and state governments has been interpreted differently over time (10th Amendment, 14th Amendment, commerce clause, necessary and proper clause)

	Describe	Explain importance in a federal system
10 <sup>th</sup> Amendment		
14 <sup>th</sup> Amendment		
Commerce Clause		
Necessary and Proper Clause		

Note: Interpretation of Constitutional powers is at the heart of the debate over the balance of power between the national and state governments.



## Explain how the distribution of powers among three federal branches and between national and state governments impacts policy making.

National and State Power Balance of Power (SOAN 3.3 & 3.5)

Court Case	Year	Brief description of the case and outcome	Constitutional principles
McCulloch v. Maryland			
United States v. Lopez			
Explain how the appropriate balance of power between national and state governments has been interpreted differently over time.			

**Note:** The balance of power between the national and state governments has changed over time based on U.S. Supreme Court interpretation

### **Policy-Making: Distribution of power** (Application)

**Task:** For each of the following columns, explain how the distribution of power impacts policy making. Be sure to include the influence of stakeholders and institutions involved, i.e. President, Interest Groups, Congress, etc.

Legislative	Executive	Judicial	National Power	State Power

Remixed from T. McKibben

